Using Cooperative Mediation to Solve Distributed Constraint Satisfaction Problems*

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Abstract

Distributed Constraint Satisfaction (DCSP) has long been considered an important area of research for multi-agent systems. This is partly due to the fact that many real-world problems can be represented as constraint satisfaction and partly because real-world problems often present themselves in a distributed form. In this paper, we present a complete, distributed algorithm called asynchronous partial overlay (APO) *for solving DCSPs that is based on a cooperative mediation process. The primary ideas behind this algorithm are that agents, when acting as a mediator, centralize small, relevant portions of the DCSP, that these centralized subproblems overlap, and that agents increase the size of their subproblems along critical paths within the DCSP as the problem solving unfolds. We present empirical evidence that shows that APO performs better than other known, complete DCSP techniques.*

1. Introduction

Distributed constraint satisfaction has become a classic formulation that is used to describe a number of distributed problems including distributed resource allocation [1], distributed scheduling [8], and distributed interpretation [5]. It's no wonder that a vast amount of effort and research has gone into creating algorithms, such as distributed breakout (DBO) [10], asynchronous backtracking (ABT) [9], and asynchronous weak-commitment (AWC) [11], for solving these problems.

Unfortunately, a common drawback to each of these techniques is that they prevent the agents from making informed local decisions about the effects of changing their local variable value without actually doing it. For example, in AWC, agents have to try a value and wait for another agent to tell them that it will not work through a *nogood* message. Because of this, agents never learn why another agent or set of agents is unable to accept the value, they only learn that their value in combination with other values doesn't work.

In this paper, we present a *cooperative mediation* based DCSP protocol, called Asynchronous Partial Overlay (APO), that allows the agents to extend and overlap the context that they use for making their local decisions. When an agent acts as a mediator, it computes a solution to a portion of the overall problem and recommends value changes to the agents involved in the *mediation session*. This technique allows for rapid, distributed, asynchronous problem solving without the explosive communications overhead normally associated with current distributed algorithms. APO represents a new methodology that lies somewhere between centralized and distributed problem solving which exploit the best characteristics of both. In the graph coloring domain, this algorithm performs better, both in terms of communication and computation, than the AWC algorithm. This is particularly true for problems that lie near or to the right of the phase transition.

In the rest of this paper, we present a formalization of the DCSP problem. We then present the APO algorithm and present an example of the execution on a simple problem. Next, we present the results of extensive

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```
procedure initialize
  d_i ← random d ∈ D_i;
  p_i ← sizeof(neighbors);
  m_i ← true;
  mediate ← false;
  add x_i to the good_list;
  send (init, (x_i, p_i, d_i, m_i, D_i, C_i)) to neighbors;
  initList ← neighbors;
end initialize;

when received (init, (x_j, p_j, d_j, m_j, D_j, C_j)) do
  Add (x_j, p_j, d_j, m_j, D_j, C_j) to agent_view;
  if x_j is a neighbor of some x_k ∈ good_list do
    add x_j to the good_list;
    add all x_l ∈ agent_view ∧ x_l ∉ good_list
      that can now be connected to the good_list;
    p_i ← sizeof(good_list);
  end if;
  if x_j ∉ initList do
    send (init, (x_i, p_i, d_i, m_i, D_i, C_i)) to x_j;
  else
    remove x_j from initList;
  check_agent_view;
end do;
```

Figure 1. The APO procedures for initialization and linking.

```
when received (ok?, (x_j, p_j, d_j, m_j)) do
  update agent_view with (x_j, p_j, d_j, m_j);
  check_agent_view;
end do;

procedure check_agent_view
  if initList ≠ ∅ or mediate ≠ false do
    return;
  m'_i ← hasConflict(x_i);
  if m'_i and ¬∃_j (p_j > p_i ∧ m_j == true)
    if ∃(d'_i ∈ D_i) (d_i ∪ agent_view does not conflict)
     and conflicts are with lower priority neighbors
      d_i ← d'_i;
      send (ok?, (x_i, p_i, d_i, m_i)) to all x_j ∈ agent_view;
    else
      do mediate;
  else if m_i ≠ m'_i
    m_i ← m'_i;
    send (ok?, (x_i, p_i, d_i, m_i)) to all x_j ∈ agent_view;
  end if;
end check_agent_view;
```

Figure 2. The procedures for doing local resolution, updating the *agent_view* and the *good_list*.

testing that compares APO with AWC within the commonly used graph coloring domain. Lastly, we discuss some of our conclusions and future directions.

2. Distributed Constraint Satisfaction

A Constraint Satisfaction Problem (CSP) consists of the following:

- a set of n variables $V = \{x_1, \ldots, x_n\}$.
- discrete, finite domains for each of the variables $D = \{D_1, \ldots, D_n\}$.
- a set of constraints $R = \{R_1, \ldots, R_m\}$ where each $R_i(d_{i1}, \ldots, d_{ij})$ is a predicate on the Cartesian product $D_{i1} \times \cdots \times D_{ij}$ that returns true iff the value assignments of the variables satisfies the constraint.

The problem is to find an assignment $A = \{d_1, \ldots, d_n | d_i \in D_i\}$ such that each of the constraints in R is satisfied. CSP has been shown to be NP-complete, making some form of search a necessity.

In the distributed case (DCSP), each agent is assigned one or more variables along with the constraints on their variables. The goal of each agent, from a local perspective, is to ensure that each of the constraints on its variables is satisfied. Clearly, each agent's goal is not independent of the goals of the other agents in the system. In fact, in all but the simplest cases, the goals of the agents are strongly interrelated. For example, in order for one agent to satisfy its local constraints, another agent, potentially not directly related through a constraint, may have to change the value of its variable.

In this paper, for the sake of clarity we restrict ourselves to the case where each agent is assigned a single variable and is given knowledge of the constraints on that variable. Since each agent is assigned a single variable, we will refer to the agent by the name of the variable it manages. Also, we restrict ourselves to considering only binary constraints which are of the form $R_i(x_{i1}, x_{i2})$. It is fairly easy to extend our approach to handle the case where one or both of these restrictions are removed.

3. Asynchronous Partial Overlay

3.1. The Algorithm

Figures 1, 2, 3, 4, and 5 present the basic APO algorithm. The algorithm works by constructing a *good_list* and maintaining a structure called the *agent_view*. The *agent_view* holds the names, values, domains, and constraints of variables to which an agent is linked. The *good_list* holds the names of the variables that are known to be connected to the owner by a path in the constraint graph.

As the problem solving unfolds, each agent tries to solve the subproblem it has centralized within its *good_list* or determine that it is unsolvable which indicates the entire global problem is over-constrained. To do this, agents take the role of the mediator and attempt to change the values of the variables within the mediation session to achieve a satisfied subsystem. When this cannot be achieved without causing a violation for agents outside of the session, the mediator links with those agents assuming that they are somehow related to the mediator's variable. This process continues un-

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```
procedure mediate
   preferences ← ∅;
   counter ← 0;
   for each x_j ∈ good_list do
      send (evaluate?, (x_i, p_i)) to x_j;
      counter ++;
   end do;
   mediate ← true;
end mediate;

when receive (wait!, (x_j, p_j)) do
   update agent_view with (x_j, p_j);
   counter --;
   if counter == 0 do choose_solution;
end do;

when receive (evaluate!, (x_j, p_j, labeled D_j)) do
   record (x_j, labeled D_j) in preferences;
   update agent_view with (x_j, p_j);
   counter --;
   if counter == 0 do choose_solution;
end do;
```

Figure 3. The procedures for mediating a session.

```
procedure choose_solution
   select a solution s using a Branch and Bound search that:
      1. satisfies the constraints between agents in the good_list
      2. minimizes the violations for agents outside of the session
   if ¬∃s that satisfies the constraints do
      broadcast no solution;
   for each x_j ∈ agent_view do
      if x_j ∈ preferences do
         if d'_j ∈ s violates an x_k and x_k ∉ agent_view do
            send (init, (x_i, p_i, d_i, m_i, D_i, C_i)) to x_k;
            add x_k to initList;
         end if;
         send (accept!, (d'_j, x_i, p_i, d_i, m_i)) to x_j;
         update agent_view for x_j
      else
         send (ok?, (x_i, p_i, d_i, m_i)) to x_j;
      end if;
   end do;
   mediate ← false;
   check_agent_view;
end choose_solution;
```

Figure 4. The procedure for choosing a solution during an APO mediation.

til one of the agents finds an unsatisfiable subsystem, or all of the conflicts have been removed.

In order to facilitate the problem solving process, each agent has a dynamic priority that is based on the size of their *good_list* (if two agents have the same sized *good_list* then the tie is broken using the lexicographical ordering of their names). Priorities are used by the agents to decide who mediates a session when a conflicts arises. Priority ordering is important for two reasons. First, priorities ensure that the agent with the most knowledge gets to make the decisions. This improves the efficiency of the algorithm by decreasing the effects of myopic decision making. Second, priorities improve the effectiveness of the mediation process. Because lower priority agents expect higher priority agents to mediate, they are less likely to be involved in a session when the mediation request is sent.

3.1.1. Initialization (Figure 1) On startup, the agents are provided with the value (they pick it randomly if one isn't assigned) and the constraints on their variable. Initialization proceeds by having each of the agents send out an "init" message to its neighbors. This initialization message includes the variable's name (x_i), priority (p_i), current value(d_i), the agent's desire to mediate (m_i), domain (D_i), and constraints (C_i). The array *initList* records the names of the agents that initialization messages have been sent to, the reason for which will become immediately apparent.

When an agent receives an initialization message (either during the initialization or through a later link request), it records the information in its *agent_view* and adds the variable to the *good_list* if it can. An variable is only added to the *good_list* if it is a neighbor of another variable already in the list. This ensures that the graph created by the variables in the *good_list* always remains connected, which focuses the agent's internal problem solving on variables which it knows it has an interdependency with. The *initList* is then checked to see if this message is a link request or a response to a link request. If an agent is in the *initList*, it means that this message is a response, so the agent removes the name from the *initList* and does nothing further. If the agent is not in the *initList* then it means this is a request, so a response "init" is generated and sent.

It is important to note that the agents contained in the *good_list* are a subset of the agents contained in the *agent_view*. This is done to maintain the integrity of the *good_list* and allow links to be bidirectional. To understand this point, consider the case when a single agent has repeatedly mediated and has extended its local subproblem down a long path in the constraint graph. As it does so, it links with agents that may have a very limited view and therefore are unaware of their indirect connection to the mediator. In order for the link to be bidirectional, the receiver of the link request has to store the name of the requester, but cannot add them to their *good_list* until a path can be identified.

3.1.2. Checking the agent view (Figure 2) After the agents receive all of the initialization messages they are expecting, they execute the check_agent_view procedure. In this procedure, the current *agent_view* (which contains the assigned, known variable values) is checked to identify conflicts between the variable owned by the agent and its **neighbors**. If, during this check, an agent finds a conflict with one or more of its neighbors and has not been told by a higher priority agent that they want

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```
when received (evaluate?, (x_j, p_j)) do
  m_j ← true;
  if mediate == true or ∃_k(p_k > p_j ∧ m_k == true) do
    send (wait!, (x_i, p_i));
  else
    mediate ← true;
    label each d ∈ D_i with the names of the agents
      that would be violated by setting d_i ← d;
    send (evaluate!, (x_i, p_i, labeled D_i));
  end if;
end do;

when received (accept!, (d, x_j, p_j, d_j, m_j)) do
  d_i ← d;
  mediate ← false;
  send (ok?, (x_i, p_i, d_i, m_i)) to all x_j in agent_view;
  update agent_view with (x_j, p_j, d_j, m_j);
  check agent_view;
end do;
```

Figure 5. Procedures for receiving a session.

to mediate, it assumes the role of the mediator.

An agent can tell when a higher priority agent wants to mediate because of the m_i flag mentioned in the previous section. Whenever an agent checks its *agent_view*, it recomputes the value of this flag based on whether or not it has existing conflicts with its neighbors. When this flag is set to **true** it indicates that the agent wishes to mediate if it is given the opportunity. This mechanism acts like a two-phase commit protocol, commonly seen in database systems, and ensures that the protocol is live-lock and dead-lock free.

As the mediator, an agent first attempts to rectify the conflict(s) by changing its own variable. This simple, but effective technique prevents sessions from occurring unnecessarily, which stabilizes the system and saves message and time. If the mediator finds a value that removes the conflict, it makes the change and sends out an "ok?" message to the agents in its *agent_view*. If it cannot find a non-conflicting value, it starts a mediation session. An "ok?" message is similar to an "init" message, in that it contains information about the priority, current value, etc. of a variable.

3.1.3. Mediation (Figures 3, 4, and 5) The most complex and certainly most interesting part of the protocol is the mediation. As was previously mentioned in this section, an agent decides to mediate if it is in conflict with one of its neighbors and is not expecting a session request from a higher priority agent. The mediation starts with the mediator sending out "evaluate?" messages to each of the agents in its *good_list*. The purpose of this message is two-fold. First, it informs the receiving agent that a mediation is about to begin and tries to obtain a lock from that agent. This lock, referred to as *mediate* in the figures, prevents the agent from engaging in two sessions simultaneously or from doing a local value change during the course of a session. The second purpose of the message is to obtain information from the agent about the effects of making them change their local value. This is a key point. By obtaining this information, the mediator gains information about variables and constraints outside of its local view without having to directly and immediately link with those agents. This allows the mediator to understand the greater impact of its decision and is also used to determine how to extend its view once it makes its final decision.

When an agent receives a mediation request, it will respond with either a "wait!" or "evaluate!" message. The "wait" message indicates to the requester that the agent is currently involved in a session or is expecting a request from a agent of higher priority than the requester. If the agent is available, it labels each of its domain elements with the names of the agents that it would be in conflict with if it were asked to take that value. In the graph coloring domain, the labeled domain can never exceed $O(|D_i| + n)$. This information is returned in the "evaluate!" message. It should be noted that the agents need not return all of the names if for security reasons they wish not to. This may effect the completeness of the algorithm, because, in the worst case, the completeness relies on one or more of the agents eventually centralizing the entire problem, but does provides some degree of autonomy and privacy to the agents.

When the mediator has received either a "wait!" or "evaluate!" message from all of the agents that it has sent a request to (which it determines by using the *counter* variable), it chooses a solution. Agents that sent a "wait!" message are dropped from the mediation, but the mediator attempts to fix whatever problems it can based on the information it receives from the agents in the session.

Currently, solutions are generated using a Branch and Bound search [3] where all of the constraints must be satisfied and the number of outside conflicts is minimized (like the min-conflict heuristic [7]). The search is also done using the current value assignments as the first branch in the search. These heuristics, when combined together, form a lock and key mechanism that simultaneously exploits the work that was previously done by other mediators and acts to minimize the number of changes in those assignments. As will be presented in section 4, these simple feed-forward mechanisms, combined with the limited centralization needed to solve satisfiability problems, account for considerable improvements in the algorithms runtime performance.

When no satisfying assignments are found, the agent announces that the problem is unsatisfiable and the algorithm terminates. Once the solution is chosen, "accept!" messages are sent to the agents in the session, who, in turn, adopt the proposed answer.

The mediator also sends "ok" messages to the agents

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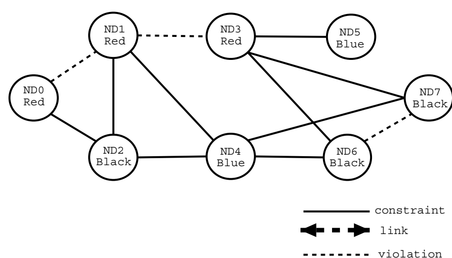
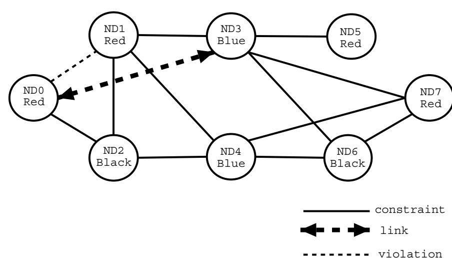
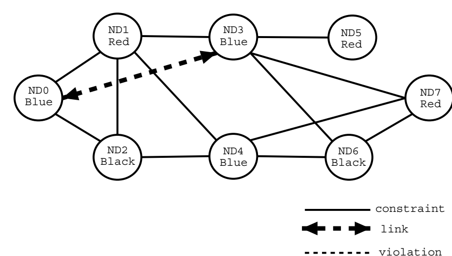


(a) Start



(b) After ND3 Mediates



(c) After ND1 Mediates

Figure 6. An example of a 3-coloring problem with 6 nodes and 9 edges.

that are in its *agent_view*, but for whatever reason were not in the session. This simply keeps those agents' *agent_views* up-to-date, which is important for determining if a solution has been reached. Lastly, using the information provided to it in the "evaluate!" messages, the mediator sends "init" messages to any agent that is outside of its *agent_view*, but it caused conflict for by choosing a solution. This "linking" step extends the mediators view along paths that are likely to be critical to solving the problem or identifying an over-constrained condition. This step also ensures the completeness of the protocol.

3.2. An Example

Consider the 3-coloring problem presented in figure 6(a). In this problem, there are 8 agents, each with a variable and 12 edges or constraints between them. Because this is a 3-coloring problem, each variable can only be assigned one of the three available colors {Black, Red, or Blue}. The goal is to find an assignment of colors to the variables such that no two variables, connected by an edge, have the same color.

In this example, three constraints are in violation: (ND0,ND1), (ND1,ND3), and (ND6,ND7). Following the algorithm, upon startup each agent adds itself to its *good_list* and sends an "init" message to its neighbors. Upon receiving these messages, the agents add each of their neighbors to their *good_list* because they are able to identify a shared constraint with themselves.

Once the startup has been completed, each of the agents checks its *agent_view*. ND0, ND1, ND3, ND6 and ND7 find that they have conflicts. ND0 (priority 3) waits for ND1 to mediate (priority 5). ND6 and ND7, both priority 4, wait for ND3 (priority 5). ND1, having an equal number of agents in its *good_list*, but a lower lexicographical order, also waits for ND3 to start a mediation. ND3, knowing it is higher priority, first checks to see if it can resolve its conflict by changing its value, which in this case, it cannot. ND3 starts a session that involves ND1, ND5, ND6, and ND7. It sends each of them an "evaluate?" message.

When each of the agents in the mediation receives the "evaluate?" message, they label their domain elements with the names of the variables that they would be in conflict with as a result of adopting that value. They each send ND3 an "evaluate!" message with this information. The following are the labeled domains for each of the agents

- ND1 - Black conflicts with ND2; Red conflicts with ND0 and ND3; Blue conflicts with ND4
- ND5 - Black causes no conflicts; Red conflicts with ND3; Blue causes no conflicts
- ND6 - Black conflicts with ND7; Red conflicts with ND3; Blue conflicts with ND4
- ND7 - Black conflicts with ND6; Red conflicts with ND3; Blue conflicts with ND4

Once all of the responses are received, the mediator, ND3, conducts a branch and bound search that attempts to find a satisfying assignment to the problem that minimizes the amount of conflict that would be created outside of the mediation. If it cannot find at least one satisfying assignment, it broadcasts that a solution cannot be found. In the example, it chooses change ND5's color to Red, ND7's color to Red, and its own color to Blue. ND3 cannot solve the conflict between ND0 and ND1

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by making these changes, so it links with ND0, leaving the problem in the state shown in figure 6(b). Note that when this happens, ND3 adds ND0 to its *good_list* and vice versa.

ND1, ND5, ND6 and ND7 inform the agents in their *agent_view* of their new values, then check for conflicts. This time, ND0 and ND1 notice that their values are in conflict. ND1, have a higher priority, becomes the mediator and mediates a session with ND0, ND2, ND3, and ND4. Following the protocol, ND1 sends out the "evaluate?" messages and the receiving agents label and respond. The following are the labeled domains that are returned:

- ND0 - Black conflicts with ND2; Red conflicts with ND1; Blue causes no conflicts
- ND2 - Black cause no conflicts; Red conflicts with ND0 and ND1; Blue conflicts with ND4
- ND3 - Black conflicts with ND6; Red conflicts with ND1, ND5, and ND7; Blue causes no conflicts
- ND4 - Black conflicts with ND2 and ND6; Red conflicts with ND1 and ND7; Blue causes no conflicts

ND1, after receiving these messages, conducts its search and a solution that solve its subproblem. It chooses to change the color of ND0 to Blue. ND0, ND1, ND2, ND3, and ND4 check their *agent_view* and find no conflicts, so the problem is solved (see figure 6(c)).

3.3. Soundness and Completeness

The proofs of APO's soundness and completeness are quite lengthy, so for simplicity, we refer the reader to [6] for their full details. Below are the main ideas that are used in them.

- If at anytime an agent identifies a constraint subgraph that is not satisfiable, it announces that the problem cannot be solved. Half of the soundness.
- If a constraint violation exists, someone will try to fix it. The protocol is dead-lock free. The other half of the soundness.
- Eventually, in the worst case, one or more of the agents will centralize the entire problem and will derive a solution, or report that no solution exists. This ensures completeness.

4. Evaluation

To test the APO algorithm, we implemented the AWC and APO algorithms and conducted experiments in the distributed 3-coloring domain. The particular AWC algorithm we implemented can be found in [11] which includes the resolvent *nogood* learning mechanism described in [4]. We conducted 3 sets of experiments.

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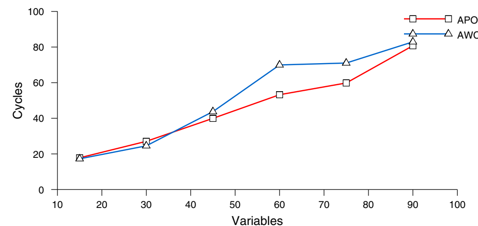
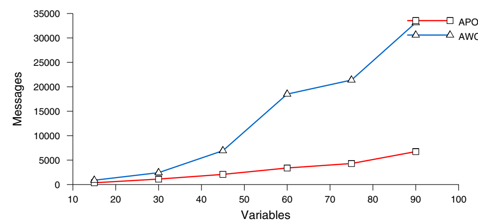


(a) Cycles

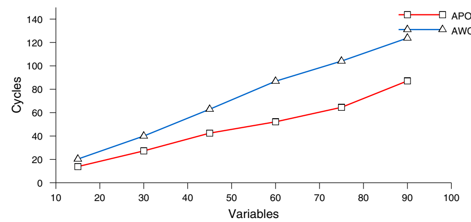


(b) Messages

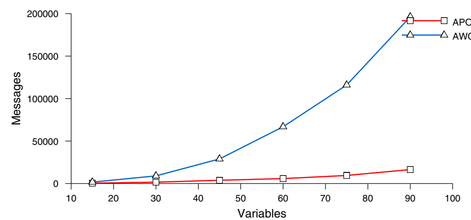
Figure 7. Cycles and messages needed to solve satisfiable, low-density 3-coloring problems by AWC and APO.

In the first set of experiments, following the experimental setup presented in [11], we created solvable graph instances with $m = 2.0n$ (*low-density*) and $m = 2.7n$ (*high-density*) according to the method presented in [7]. We generated 10 random graph for $n = 15, 30, 45, 60, 75, 90$ and for each instance generated 10 initial variable assignments. For each combination of n and m, we ran 100 trials making a total of 1800 trials. During this series, we measured the number of messages and *cycles* used by the algorithms. During a cycle, incoming messages are delivered, the agent is allowed to process the information, and any messages that were created during the processing are added to the outgoing queue to be delivered at the beginning of the next cycle. The actual execution time given to one agent during a cycle varies according to the amount of work needed to process all of the incoming messages. The results from this experiment can be seen in figures 7 and 8.

In the second set of experiments, we created completely random 60 node graphs of various densities from $1.8n$ to $2.9n$. This was done to test the completeness of the algorithms and to verify the correctness of their implementations. For each density value, we generated 200 random graphs each with a single set of initial values. Again, we measured the number of cycles and the number of messages used by each of the algorithms. In total,

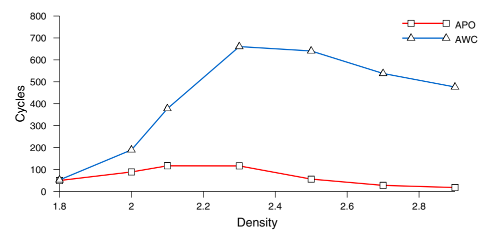


(a) Cycles

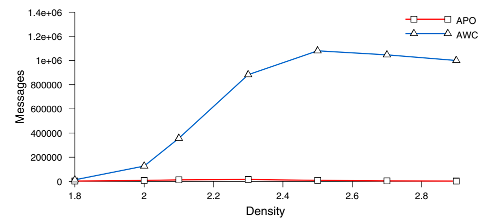


(b) Messages

Figure 8. Cycles and messages needed to solve satisfiable, high-density 3-coloring problems by AWC and APO.



(a) Cycles



(b) Messages

Figure 9. Cycles and messages used to solve random, 60 variable problems with AWC and APO.

1400 graphs were generated and tested. We stopped the execution of the algorithms at 1000 cycles for the sake of time. The results of these experiments are shown in figures 9(a) and 9(b).

In the third set of experiments, we directly compared the serial run time performance of AWC against APO. For these experiments, we again generated random graphs, this time varying the size and the density of the graph. We generated 25 graphs for the values of $n = 15, 30, 45, 60$ and the densities of $d = 2.0, 2.3, 2.7$, for a total of 300 test cases. To show that the performance difference in APO and AWC was not caused by the speed of the central solver, we ran a centralized backtracking algorithm on the same graph instances. Although, APO uses the branch and bound algorithm, the backtracking algorithm used in this test provides a best case lower bound on the runtime of APO's internal solver.

Each of the programs used in this test was run on an identical 2.4GHz Pentium 4 with 768 Mbytes of RAM. These machines where entirely dedicated to the tests so there was a minimal amount of interference by competing processes. In addition, no computational cost was assigned to message passing because the simulator passes messages between cycles. The algorithms were, however, penalized for the amount of time they took to process messages. Although we realize that the specific implementation of an algorithm can greatly effect its runtime performance, every possible effort was made to optimize the AWC implementation used for these experiments in an effort to be fair.

For satisfiable graph instances, you can see that on low-density satisfiable graphs AWC and APO perform almost identically in terms of cycles to completion. When the density of the graphs increase to 2.7 however, the difference become more apparent. APO begins to scale more efficiently than AWC. This can be attributed to the ability of APO to rapidly identify strong interdependencies between variables and to derive solutions to them using a centralized search of the partial subproblem. We should mention that the results of the testing on AWC obtained from these experiments agree with those reported in [4] verifying the correctness of our implementation.

On random, 60 variable instances, APO significantly outperforms AWC on all but the simplest of problems (see figure 9(a)). The most direct cause of this is AWC's poor performance on unsatisfiable problem instances as previously reported in [2].

In the serial runtime tests, presented in figures 10(a), 10(b), and 10(c), we also see that APO outperforms AWC. You should note that the scale used for these graphs is logarithmic. In fact, APO actually outperforms the centralized solver on graphs larger than 30 nodes.

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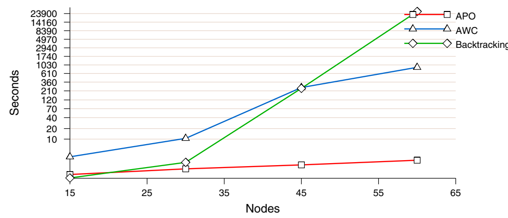
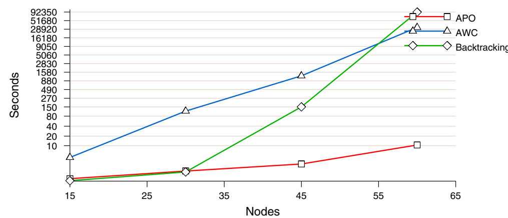
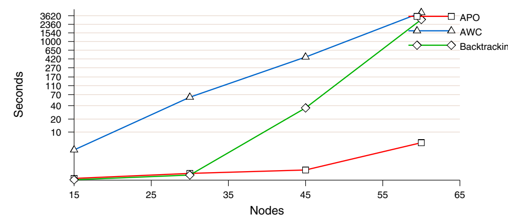


(a) $d = 2.0$



(b) $d = 2.3$



(c) $d = 2.7$

Figure 10. Serial runtime needed to solve random problems with AWC, APO, and centralized backtracking.

This indicates that, in fact, APO's runtime performance is not strictly attributable to the speed of centralized solver that it is using.

The most profound difference in the algorithms can be seen in the number of messages used by them to solve problems. In all cases, APO outperform AWC by a significant amount. There are two primary causes for this. First, the mediation process creates regions of stability in the agent environment. So, unlike AWC, APO is able to avoid thrashing behavior that is caused by the asynchrony of operating in a distributed environment. Second, because APO uses partial centralization to solve problems, it avoids having to use a large number of messages to discover implied constraints through trial and error.

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5. Conclusions

In this paper, we presented a new method for solving DCSPs called the *Asynchronous Partial Overlay* algorithm. The key features of this technique are that agents mediate over conflicts, the context they use to make local decisions overlaps with that of other agents, and as the problem solving unfolds, the agents gain more context information along the critical paths of the constraint graph to improve their decisions. We have shown that the APO algorithm is both sound and complete and that it performs better than the AWC algorithm on graph coloring problems of various sizes and difficulty.

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